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Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/646,378

Confirmation No.: 9974

Applicants

Barbara F. Smith et al.

Title

FUNCTIONALIZED POLYMERS FOR

BINDING TO SOLUTES IN AQUEOUS

SOLUTIONS

Filed

August 22, 2003

TC/A.U.

1713

Examiner

(not yet assigned)

Docket No.

4250.2.24

Customer No.

21552

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicants and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), the enclosed Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicants nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. § 102 or § 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement

shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, transmitted herewith are:

- A completed copy of Form PTO/SB08b "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration; and
- 2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO/SB/08b.

Respectfully submitted,

Craig Metcalf

Reg. No. 31,398

Attorney for Applicants

Date: August 4, 2004

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PTO/SB/08b (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 Of 1

| Complete if Known | | | | |
|------------------------|------------------|--|--|--|
| Application Number | 10/646,378 | | | |
| Filing Date | August 22, 2003 | | | |
| First Named Inventor | Barbara F. Smith | | | |
| Group Art Unit | 1713 | | | |
| Examiner Name | | | | |
| Attorney Docket Number | 4250.2.24 | | | |

| | NON PATENT LITERATURE DOCUMENTS | | | | |
|-------------------------------|---------------------------------|---|--|--|--|
| Examiner Initials * Cite N | | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | 01 | Barbara Smith et al., "Chelating Water-Soluble Polymers for Waste Minimization," pp. 1-15, (Applicants are unable to determine the exact publication date of this paper, however it is believed to be publicly available as of late 1997). | | | |

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|---|-----------------------|--------------------|--|
| | Examiner Signature | Date Considered | |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.